

OFFICE OF  
REFUND  
JC14 Rec'd PCT/PTO 17 APR 2002 #8 complete

Attorney Docket INEX.P-005-UNP  
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Maurer, et al  
Serial No.: 10/019,199  
Filing Date: December 20, 2001  
Title: Methods for Preparation of Lipid-Encapsulated Therapeutic Agents

RepIn. Ref: 09/04/2002 BCAMPBEL 00150610  
DAH: 150610 Name/Number: 10019199  
FC: 704 \$54.00 CR

REQUEST FOR REFUND

Commissioner of Patents and Trademarks  
Box 17  
Washington, D.C. 20231

Sir:

Attached hereto is a copy of Monthly Statement of Deposit Account indicating a charge for the above file of \$54.00, posted on January 7, 2002. This charge apparently is because the total on our fee sheet, divided in half for a small entity, would be \$54.00 more than what we had listed and paid.

However, our calculations were wrong in the first place. The total number of claims should have been listed as 20 instead of 32, in which case the \$216. fee would not be charged to us. Our total charge for this filing should have been \$445 (half of \$890.). Since we paid a total of \$499.00, we are entitled to a refund of \$54.00 for the overpayment plus the \$54.00 charged on the deposit statement, for a total of \$108.00. Enclosed is a copy of the Monthly Statement of Deposit Account and a copy of the fee sheet and preliminary amendment filed with the application.

Please issue a credit of the \$108.00 due our firm and deposit it to our Deposit Account

Respectfully submitted,

OPPEDAHL & LARSON LLP

*Marina T. Larson*

Marina T. Larson, Ph.D.  
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P.O. Box 5068  
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15-0610  
Adjustment Date: 09/04/2002 BCAMPBEL  
01/07/2002 LLANDGRA 000000101 150610 10019199  
02 FC:967 54.00 CR -54.00 CP

Cert. under 37 CFR 1.8  
This paper and the attachments named  
herein are being deposited with the  
United States Postal Service with  
sufficient postage as first class mail  
and addressed to Assistant Commissioner  
for Patents, Washington, D.C. 20231 on

18 April 2002  
*S. Howard*  
Name Sign

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Page](#)**Deposit Account Statement**

**Requested Statement Month:** January 2002  
**Deposit Account Number:** 150610  
**Name:** OPPEDAHN & LARSON LLP  
**Attention:**  
**Address:** P.O. BOX 5068  
**City:** DILLON  
**State:** CO  
**Zip:** 80435-5068

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
01/07	259	10019199	INEX.P-005	967	\$54.00	\$1,176.00
01/09	33	76192349	SFTTM0013	361	\$325.00	\$851.00
01/31	13	E-REPLENISHMENT		701	-\$2,000.00	\$2,851.00

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$1,230.00	\$379.00	\$2,000.00	\$2,851.00

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TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

INEX.P-005

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.  
PCT/CA00/00843INTERNATIONAL FILING DATE  
14-July-2000PRIORITY DATE CLAIMED  
15 July 1999

## TITLE OF INVENTION

Methods for Preparation of Lipid-Encapsulated Therapeutic Agents

## APPLICANT(S) FOR DO/EO/US

Maurer, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
  3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
  4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
    - b. ☒ has been communicated by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
    - a. ☐ is attached hereto.
    - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
  7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☐ have not been made and will not be made.
  8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
  10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11 to 20 below concern document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. ☒ A **FIRST** preliminary amendment.
  14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
  15. ☐ A substitute specification.
  16. ☐ A change of power of attorney and/or address letter.
  17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
  18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
  19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
  20. ☐ Other items or information:

EL556129903US